

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/817,575
				Filing Date	April 2, 2004
				First Named Inventor	LAMBERTON, Marc et al.
				Art Unit	2614
Examiner Name	Nguyen, Khai N.				
Sheet	1	of	2	Attorney Docket Number	200208994-2

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A	US-5,084,816	1992-01-28	Boese et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	B	EP 1 261 217	2002-11-27	ERICSSON TELEFON AB LM		<input type="checkbox"/>
	C	EP 1 089 575	2001-04-4	ALCATEL USA SOURCING LP		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application Number	10/817,575
				Filing Date	April 2, 2004
				First Named Inventor	LAMBERTON, Marc et al.
				Art Unit	2614
(use as many sheets as necessary)				Examiner Name	Nguyen, Khai N.
Sheet	2	of	2	Attorney Docket Number	200208994-2

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	D	Brian Bidulock, "Application Server Process (ASP) Extension (ASPEXT) Framework for Signalling User Adaptation Layers" [draft-dudlock-sigtran-aspept-01.txt]; January 2, 2003	<input type="checkbox"/>
	E	Brian Bidulock, "Correlation ID and Hearbeat Procedures (CORID) Supporting Lossless Fail-Over between SCTP Associations for Signalling User Adaptation Layers [draft-didlock-sigtran-corid-01.ps]; January 2, 2003 (Version 0.1)	<input type="checkbox"/>
	F	Brian Bidulock, "Correlation ID and Hearbeat Procedures (CORID) Supporting Lossless Fail-Over between SCTP Associations for Signalling User Adaptation Layers [draft-didlock-sigtran-corid-02.ps]; July 26, 2003 (Version 0.2)	<input type="checkbox"/>
	G	Brian Bidulock, "Correlation ID and Hearbeat Procedures (CORID) Supporting Lossless Fail-Over between SCTP Associations for Signalling User Adaptation Layers [draft-didlock-sigtran-corid-03.ps]; February 21, 2004 (Version 0.3)	<input type="checkbox"/>
	H	Series Q: "Switching and Signalling" Specifications of Signalling System No. 7 – Message transfer part, ITU-T Telecommunication Standardization Sector of ITU International Telecommunication Union, Q.704 (07/96) pages 1-37	
	I	European Search Report from counterpart foreign application EP1465440A1	
			<input type="checkbox"/>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.